

# FORM FOR INDIVIDUAL SELF-CERTIFICATION ON FATCA (US Statut) AND CRS (Tax residency)

## Individual Person

Denomination of the contract : Policy number (in case the policy already exists) 

### General Information

Personal data is collected and processed by Allianz Life Luxembourg S.A. for the purpose of concluding, managing and executing the Contract and complying with the legal, regulatory and administrative provisions applicable to the Company. Information on the purposes, method and place of processing and the period of retention of personal data are detailed in the product's contractual documentation and in the "Information notice on the protection of personal data" available on request from the Company or on the website [www.allianz.lu](http://www.allianz.lu).

### Please read these instructions before completing the form

Regulations based on the FATCA law and the OECD Common Reporting Standard ("CRS") require Allianz Life Luxembourg to collect and report certain information about an account holder's tax residency. In general, tax residence is the country in which you live. The USA use an enlarged definition of tax residency: you are also considered as tax resident of the USA if you hold a US passport or greencard. Special circumstances (such as studying abroad, working overseas, or extended travel) may cause you to be resident elsewhere or resident in more than one country/countries at the same time (dual residency). The country/countries in which you pay income tax are likely to be your country/countries of tax residence.

If you qualify as Specified US Person or if your tax residency is located outside of Luxembourg we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to the Luxembourgish tax administration.

An account holder is every person that has access to the policy value. As account holder is classified next to the policy holder, each joint holder, an assignee or an irrevocable beneficiary. In case the parent is acting as legal guardian for his child, the child is regarded as account holder. A person holding the account for the benefit of another person (agent, custodian, nominee, ...) is not regarded as account holder.

In case of any change in circumstances relating to your US status/ tax residency status that makes the information in this self-certification incorrect or incomplete you must notify us and provide an up-dated self-certification, within 30 days.

### Please complete this form if you are an individual account holder

For joint or multiple account holders, use a separate form for each individual person. Where you need to self-certify on behalf of an entity account holder, do not use this form. Instead, you will need a "FORM for entity self-certification on FATCA and CRS".

If you qualify as a Specified US Person under US Internal Revenue Service regulations, you may also need to fill in an IRS "W-9 form". If you show US indicia but you do not qualify as Specified US Person, you may need to fill in an IRS "W-8 BEN" form and give us appropriate documents to proof your Non-US Status.

A legal guardian should complete the form on behalf of an account holder who is a minor.

### As a financial institution we are not allowed to give tax advice

If you have any questions about this form, these instructions, or defining your US or tax residency status, please speak to your tax adviser or local tax authority.

Concerning FATCA you can find out more on the web side of the internal revenue service ("IRS") [www.IRS.gov](http://www.IRS.gov).

Concerning CRS you can find out more, including a list of jurisdictions that have signed agreements to automatically exchange information, along with details about the information being requested on the OECD automatic exchange of information portal.

The form will be refused if it is not COMPLETELY filled in, dated and signed.



### 3. Questionnaire to determine if you qualify as specified US person<sup>1</sup> and therefore as tax resident of the United States of America

- a. Are you a US passport holder (also applicable in case of dual citizenship)?  Yes  No
- b. Are you a US residency card holder ("greencard" holder)?  Yes  No
- c. Are you a US tax resident?  Yes  No

You are considered as US resident also if

- the requirements of the "substantial presence test" are met: you reside more than 183 days per year in the United States of America (this year and the two years before). The calculation method is the following : the days of the current year count entirely with a minimum of 31 days, the days of the last year for one third and the days of the year prior to that for one sixth,
- you file your income together with your spouse, in case your spouse is a U.S. person

- d. Are you born in the US (and you have not waived your US citizenship)?  Yes  No

In case you have chosen "yes" for any question above please enter United States of America as tax residency and your US TIN in the tax residency table above (next to any other tax residency, if applicable). Additionally please provide us a properly dated and signed IRS form W-9.

- e. Are you born in the US (and you have waived your US citizenship)?  Yes  No

In case you have chosen "yes" for question e) please provide us a copy of an official document proving the waiver of your US citizenship and a properly dated and signed IRS form W-8 BEN.

- f. Do you show any other US indicia?  Yes  No
- residency or postal address in the USA  Yes
  - phone number in the US  Yes
  - standing order to transfer funds to an account in the USA  Yes
  - power of attorney or signatory authority granted to a person with US address  Yes
  - other  Yes

In case you have chosen "Yes" for question f) but you consider yourself not as Specified US Person, please provide us with an explanation (and proof, if considered appropriate) why you should not be considered as US person and provide a current proof of residency (residency card/ residency attestation/ utility bill for fix phone, water, gas or electricity) for any country other than the United States of America and a properly dated and signed IRS form W-8 BEN.

In case you consider yourself as Specified US person, please enter United States of America as tax residency and your US TIN in the tax residency table above (next to any other tax residency, if applicable). Additionally please provide us a properly dated and signed IRS form W-9.

### 4. Declaration and Signature

I understand that Allianz Life Luxembourg is responsible to treat the information that I have provided in the context of this self-certification.

I acknowledge that the information contained in this form and any further information I may have given to you regarding the Account Holder and any Reportable Account(s) may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information with the country/ies in which this account(s) is/are maintained.

If I miss to complete this form properly and completely Allianz Life Luxembourg may refuse to enter into business relationship with me or may refuse to execute a transaction that I request.

Every person concerned has the right to access the information transferred to the local tax administration and has the right to correct in case of inaccurate information.

**I declare that all statements made in this self-certification are, to the best of my knowledge and belief, correct and complete.**

I undertake to advise Allianz Life Luxembourg within 30 days of any change in circumstances which affects my tax residency status or causes the information contained in this form to become incorrect, and to provide Allianz Life Luxembourg with a suitably updated self-certification and Declaration within 30 days of such change in circumstances.

Signed in \_\_\_\_\_ on \_\_\_\_ | \_\_\_\_ | \_\_\_\_\_

Name and first name in capital letters : \_\_\_\_\_

Signature

<sup>1</sup>For more details on the criteria for the determination of the status of fiscal resident of the United States of America or to download W-9 and W-8BEN forms, please go to the web site of the IRS : [www.irs.gov](http://www.irs.gov)